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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/976,394	10/12/2001	Linda T. Romano	A0729	2792

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EXAMINER

MANDALA, VICTOR A

ART UNIT PAPER NUMBER

2826

DATE MAILED: 11/29/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

AK

Office Action Summary	Application No.	Applicant(s)	
	09/976,394	ROMANO ET AL.	
	Examiner	Art Unit	
	Victor A Mandala Jr.	2826	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 September 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-9, 11-15 and 17-19 is/are rejected.
- 7) ☒ Claim(s) 10 and 16 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 October 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2. 6) ☐ Other:

DETAILED ACTION

Election/Restrictions

1. Examiner has noted that the applicant has responded to the election restriction from the last communication response filed on 9/18/02 in paper No. 4. The applicant has elected the device, claims 1-19 for further examination without traverse.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 5-7 and 13-14 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The etch stop layer that is between the stress balancing pad and the anchor portion of the metal spring finger is not disclosed.

Art Unit: 2826

Drawings

3. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the etch stop layer that is position between the stress balancing pad and the anchor portion of the metal spring finger must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in-

(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or

(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

Claims 1-10, 12-15, and 17-19 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,290,510 Folk et al.

Art Unit: 2826

4. Referring to claim 1, a spring structure comprising: a substrate, (Figure 3 #301); a spring metal finger, (Figure 3 #320), including an unlifted anchor portion, (Figure 3 #322), attached to the substrate, (Figure 3 #301), and a released claw portion, (Figure 3 #320), extending over the substrate, (Figure 3 #301), wherein the anchor portion, (Figure 3 #322), has a first internal stress gradient, (Col. 5 Lines 25-34); and a stress-balancing pad, (Figure 6 #638-1), formed on the anchor, (Figure 3 #322), portion of the spring metal finger, (Figure 3 #320), wherein the stress-balancing pad, (Figure 6 #638-1), has a second internal stress gradient, (Col. 9 Lines 62-63, where the stress-balancing pad is secured to the substrate and has the opposite stress gradient than the spring metal finger, which the spring metal finger pulls away from the substrate and can be seen in Figure 6), that is opposite to the first internal stress gradient, (Col. 5 Lines 25-34).
5. Referring to claim 2, a spring structure, wherein the second internal stress gradient of the stress-balancing pad is equal in magnitude to or greater in magnitude, (Col. 9 Lines 62-63, where the stress-balancing pad is secured to the substrate and has the opposite stress gradient than the spring metal finger and it is apparent that the magnitude of the stress gradient for the stress balancing pad is equal or greater than the first internal gradient because the spring metal finger would peel away from the substrate if it was less than; this can be seen in Figure 6), than the first internal stress gradient of the anchor portion, (Col. 5 Lines 25-34).
6. Referring to claim 3, a spring structure, wherein both the spring metal finger and the stress-balancing pad, (Figure 6 #638-1), consist essentially of a single material composition, (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr).

Art Unit: 2826

7. Referring to claim 4, a spring structure, wherein the single material composition is one of Molybdenum (Mo) and Molybdenum-Chromium (MoCr), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr).

8. Referring to claim 5, a spring structure, further comprising an etch stop layer formed between the anchor portion of the spring metal finger and the stress-balancing pad, (See 112 rejection above).

9. Referring to claim 6, a spring structure, wherein both the spring metal finger and the stress-balancing pad consist essentially of Molybdenum (Mo), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr), and wherein the etch stop layer comprises Chromium (Cr), (See 112 rejection above).

10. Referring to claim 7, a spring structure, wherein both the spring metal finger and the stress-balancing pad consist essentially of Molybdenum-Chromium (MoCr), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr), and wherein the etch stop layer comprises Titanium (Ti), (See 112 rejection above).

11. Referring to claim 8, a spring structure, wherein the spring metal finger comprises a first material, and wherein the stress-balancing pad comprises a second material that is different from the first material), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of Au).

Art Unit: 2826

12. Referring to claim 9, a spring structure, wherein the first material consists essentially of a Molybdenum-Chromium alloy (MoCr), and wherein the stress-balancing pad consists essentially of Molybdenum (Mo), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr).

13. Referring to claim 11, a spring structure, further comprising a support pad, (Figure 3 #310 & 312), formed between the substrate, (Figure 3 #301), and the anchor portion of the spring metal finger, (Figure 3 #320).

14. Referring to claim 12, a spring structure, wherein the support pad comprises one of Titanium (Ti) and Silicon (Si), (Col. 6 Lines 29-31).

15. Referring to claim 13, a spring structure, wherein the support pad comprises Ti, (Col. 6 Lines 29-31), wherein both the spring metal finger and the stress-balance portion comprise Molybdenum (Mo), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr), and wherein the spring structure further comprises an etch stop layer consisting of Chromium (Cr), (See 112 rejection above), that is formed between the spring metal finger and the stress-balance portion.

16. Referring to claim 14, a spring structure, wherein the support pad comprises Si, (Col. 6 Lines 29-31), wherein both the spring metal finger and the stress-balance portion comprise Molybdenum-Chromium (MoCr), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr), and wherein the spring structure further comprises an etch stop layer consisting of Titanium (Ti), (See 112 rejection above), formed between the spring metal finger and the stress-balance portion.

Art Unit: 2826

17. Referring to claim 15, a spring structure, wherein the support pad comprises Titanium (Ti), (Col. 6 Lines 29-31), wherein the spring metal finger comprises Molybdenum-Chromium (MoCr), and wherein the stress-balance portion comprise Molybdenum (Mo), (Col. 5 Line 42 states the spring metal finger #320 can be made of MoCr and Col. 10 Line 8 states the stress balancing pad #638-1 can be made of MoCr).

18. Referring to claim 17, a spring structure, further comprising a conductor formed on the substrate, wherein the support pad comprises an electrically conductive material, and wherein the spring metal finger is electrically connected to the conductor via the support pad, (Col. 5 Lines 50-53).

19. Referring to claim 18, a spring structure comprising: a substrate, (Figure 6 #601); a spring metal finger having an anchor portion, (Figure 6 #620), supported by the substrate, (Figure 6 #601), and a claw portion, (Figure 6 #620-1F), extending over the substrate, (Figure 6 #601); and a stress-balancing pad, (Figure 6 #638-1), formed over the anchor portion of the spring metal finger, (Figure 6 #620), wherein the spring metal finger, (Figure 6 #620), is formed from a first stress-engineered material having a first internal stress moment that causes the claw portion to bend away from the substrate, (Figure 6 #601), and wherein the stress-balancing pad is formed from a second stress-engineered material having a second internal moment that opposes to the first internal stress moment, (Col. 9 Lines 62-63, where the stress-balancing pad is secured to the substrate and has the opposite stress gradient than the spring metal finger, which the spring metal finger pulls away from the substrate and can be seen in Figure 6).

20. Referring to claim 19, a spring structure, wherein the first internal stress moment of the anchor portion has a first magnitude, and wherein the second internal stress moment of the

Art Unit: 2826

stress-balancing pad has a second magnitude that is equal to or greater than the first magnitude, (Col. 5 Lines 25-34 & Col. 9 Lines 62-63, where the stress-balancing pad is secured to the substrate and has the opposite stress gradient than the spring metal finger and it is apparent that the magnitude of the stress gradient for the stress balancing pad is equal or greater than the first internal gradient because the spring metal finger would peel away from the substrate if it was less than; this can be seen in Figure 6).

Allowable Subject Matter

21. Claims 10 and 16 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.


Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Victor A Mandala Jr. whose telephone number is (703) 308-6560. The examiner can normally be reached on Monday through Thursday from 8am till 6pm..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan Flynn can be reached on (703) 308-6601. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7722 for regular communications and (703) 308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

VAMJ
November 21, 2002


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